

# Erecting Ethical Walls ... Then What?

INFO-7

# Introducing Our Panel

- ◆ Eric Carpenter, Director of IT - Rothgerber, Johnson & Lyons LLP
- ◆ Eric Mosca, Owner - InOutsource, LLC
- ◆ Doug Smith, Business Solutions Manager - Wiley Rein LLP
- ◆ Leigh Isaacs (Moderator), Director, Records & Information Governance - Orrick, Herrington & Sutcliffe

# Audience Polling Question

Go to <http://ilta.cnf.io> on your mobile device or laptop

- ◆ What is your role within your organization?
  - ◆ IT
  - ◆ Compliance/Security
  - ◆ Enterprise Content Management
  - ◆ Records & Information Management/Governance

# Ethical Walls Redefined

- ◆ Historic Definition
- ◆ Evolving Paradigm
- ◆ Let's Just Call Them Screens

# Information Access Philosophy

- ◆ Open Content
- ◆ Closed Content
- ◆ Hybrid Approach
- ◆ Remediation of Current Approach for Screens

# Audience Polling Question

- ◆ What Level of Information Access is Prevalent in Your Organization?
  - ◆ Open
  - ◆ Closed
  - ◆ Hybrid

# State of the Art

- ◆ In the Old Days
- ◆ Current Experience
- ◆ Plans for the Future

# Screen Governance

- ◆ Who Defines the Process
- ◆ Who Owns the Process?
  - ◆ IT, Risk, IG
- ◆ Who Runs the Process?
  - ◆ IT, Risk, IG



# Potential Technology Solutions

- ◆ System Requirements
- ◆ Culture Requirements
- ◆ Software Considerations
- ◆ Integrations

# Implementation Experience

- ◆ Selection
- ◆ Considerations
- ◆ Implementation
- ◆ Remediation

# In or Out

- ◆ Types of Screens
- ◆ Cultural Considerations
- ◆ Does Size Matter?



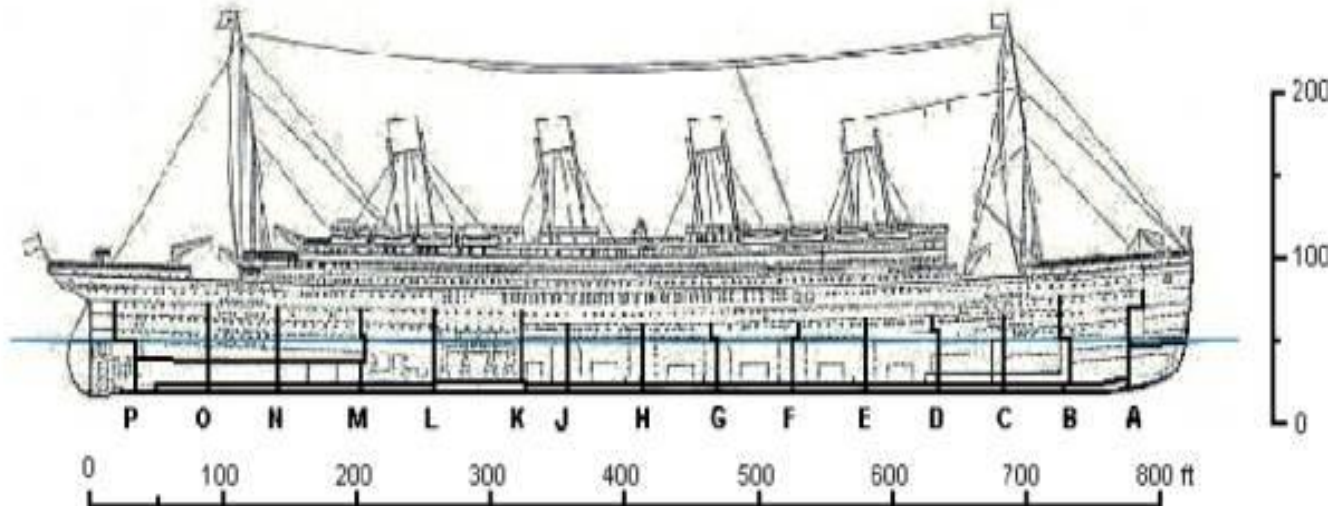
# Keeping Flies Out

- ◆ Maintaining a Screen
- ◆ Points of Vulnerability
- ◆ Success Stories
- ◆ Not So Success Stories

# Watertight Security

*SWH*

Profile View Showing Major Watertight Compartments of Titanic



# Security Using Screen Technology

- ◆ Inclusive Screens
- ◆ New Arrivals/Mergers
- ◆ Contract Attorneys

# Moving Forward

- ◆ What New Areas of Risk are Emerging
- ◆ New Technologies

# Questions?

- ◆ Eric Carpenter - [Ecarpenter@rothberger.com](mailto:Ecarpenter@rothberger.com)
- ◆ Eric Mosca - [Emosca@inoutsourcing.com](mailto:Emosca@inoutsourcing.com)
- ◆ Doug Smith - [Dsmith@wileyrein.com](mailto:Dsmith@wileyrein.com)
- ◆ Leigh Isaacs - [Lisaacs@orrick.com](mailto:Lisaacs@orrick.com)